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07-06-04

**ONX-117A** 

2862 Examiner SUBHAS A. ZAVERI

**Total Pages** 

**Application Number** TRANSMITTAL 09/851,587 Filing Date **FORM MAY 8, 2001** First Named Inventor **MURALI CHAPARALA** Group Art Unit

Attorney Docket No.

(for all correspondence after initial filing)

**ENCLOSURES** (check all that apply) [ ] Response to Notice of Missing Parts [ ] Return Receipt Postcard (MPEP 503) [ ] Applicant Claims Small Entity Status [X] Fee Transmittal Form - 1 page [ ] Declaration by Inventors [X] Fee Attached: Credit Card Payment, PTO-2038 [ ] Assignment papers [] Response/Amendment [ ] Power of Attorney by Assignee [] After Final Rejection [X] IDS/PTO-1449 [ ] After Allowance communication to Group [X] with copies of cited references [ ] with Corrected Drawing(s) Total Sheets: [ ] [ ] with Replacement Sheet(s) Total Sheets: [ ] [ ] New Power of Attorney and Revocation of Old [ ] Change of Correspondence Address [] with Affidavits/Declarations [] Extension of Time Request [] Other: [ ] Terminal Disclaimer under 37 CFR 1.321(c) [ ] Express Abandonment Request

SIGNATURE OF ATTORNEY					
NAME	JOSHUA D. ISENBERG, REG. NO. 41,088				
Signature	Johns D. Sanley				
Date	7/3/2004				

Certificate of Express Facsimile Transmission						
I hereby certify that I am mailing this correspondence on the date indicated below to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, using the "Express Mail Post Office to Addressee" service of the United States Postal Service under 37 CFR 1.10.						
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NAME OF PERSON SIGNING						

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Application Number	09/851,587						
Filing Date: TRADEMAR	May 8, 2001						
First Named Inventor:	Murali Chaparal	a DETECTION FOR	MICOOMACHINED	OBTICAL			
Little of invention:	Title of Invention: MAGNETIC POSITION DETECTION FOR MICROMACHINED OPTICAL ELEMENT						
Group Art Unit: 2862							
Examiner:	Subhash A. Zave	eri					
Attorney Docket No.:	ONX-117A		_ <del></del>				
Fee Calculation:	. D. E et						
for [X] Large Entity / [ ] Sm.	all Entity.						
Basic Billing Fee:  [ ] Utility Patent Application:	\$770/ \$385		\$	•			
Provisional Patent Application:	\$160 / \$80		\$				
Claims:							
[ ] Number of Total Claims Over 20	:[]	x \$18/\$9 =	\$				
[ ] No. of Independent Claims Over	3:[]	x \$86 / \$43 =	\$				
Other Fees:		#440 / #EE	¢				
[ ] Extension of time, 1 month		\$110 / \$55	\$ •				
Extension of time, 2 months		\$420 / \$210	\$				
[ ] Extension of time, 3 months		\$950 / \$475	\$				
[ ] Extension of time, 4 months		\$1480 / \$740	\$				
[ ] Missing Parts Surcharge (Regula		\$130 / \$65	\$				
[ ] Missing Parts Surcharge (Provis	ional Application)	\$50 / \$25	\$				
[ ] Recordation of Assignment Doc	ument	\$40	\$	•			
[ ] Issue Fee		\$1330 / \$665	\$				
[ ] Printed Patent; Number of Copie	es: [ ]	x \$3 =	\$				
[x] IDS Fee Under 37 CFR 1.17(p)		\$180	\$ 180				
[ ] Terminal Disclaimer fee 37 CFR	1.20	\$55 / \$110	\$				
TOTAL DAYMENT.			\$ 180				
TOTAL PAYMENT: Method of Payment:			φ 100				
[X] Payment Enclosed: Credit C	ard Payment Form	(PTO-2038) for \$180					
Signature of Applicant, Attorne	y, or Agent						
0 1 0 1.10		7/2/2004					
Joshua D. Isenberg, Reg. No. 41	088	7/3/2W4					
Jos/Ida D. Iseliberg, Neg. No. 41		of Express Mailing					
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Agent's Docket No: ONX-117A

# In the United States Patent and Trademark Office

Application No.:

09/851,587

Filed:

May 8, 2001

Title:

MAGNETIC POSITION DETECTION FOR MICRO MACHINED OPTICAL ELEMENT

Applicant:

Murali Chaparala

Examiner:

Subhash Zaveri

Express Mail #: ED 047416584 US

Art Unit

2862

Mailed : **July 3, 2004** 

### **Information Disclosure Statement**

Commissioner of Patents and Trademarks Washington, District of Columbia 20231

Dear Sir or Madam:

Attached is a completed Form PTO-1449 and copies of the pertinent parts of the references cited thereon.

It is requested that the document(s) on the enclosed form be made of record. As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

It is requested that the document(s) on the enclosed form be made of record.

# Part I (Authority)

This statement is filed pursuant to:

( ) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits; or (4) before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

(X) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) (\$180), or a certification according to 37 C.F.R. § 1.97(e).

( ) 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c). Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180) and a certification according to 37 C.F.R. § 1.97(e).

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#### **Conditional Petition**

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). Please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

### Part II (Payment)

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- ( ) No fee is due.
- (X) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).
- ( ) The petition fee specified in 3 7 C.F.R. § 1.17(p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$180).

## Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
  - () The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.
  - () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

### Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed.

Copies of the cited art (X) are enclosed, () are of record in parent application Serial No. \_\_\_\_\_ and will be provided if the Examiner deems it convenient.

Dated: July 3, 2004

Respectfully submitted,

Joshua D. Isenberg Reg. No. 41,088 204 Castro Lane

Fremont, CA 94539

tel: (510) 896-8328 fax: (510) 360-9656

FORM PTO-1449U.S. DEPARTMENT OF COMMERCE				AGENT. DOCKET NO. SERIAL NO. <b>09/851,587</b>					
LIST OF PRIOR ART CITED BY APPLICANT (Use several cheets if free sary)			APPLICANT Murali Chaparala						
JUL 0 2 2004 LL					FILING DATE May 8, 2001		GROUP <b>2862</b>	1	
		PATE	.S. P.	ATENT DO	OCUMENTS				
EXAMINER INITIAL	AMINER DOCUMENT DATE			NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
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	ll_	•	FOREIGN	N PATENT	DOCUMENTS		<u> </u>	J	
		DOCUMENT NUMBER	DATE		DUNTRY	CLASS	SUBCLASS	TRANSLATION	
								YES NO	
	LL	OTHER PRIOR	ART (Incl	iding Autho	or. Title. Date. Pe	rtinent P	ages. Etc.)		
	A				, dated October 8, 20		-8,		
	В	Office Action in re	lated application	on 09/853,869	, dated May 22, 2002	2			
·	C	Office Action in re	Office Action in related application 09/853,868, dated July 2, 2002						
	D	Office Action in related application 09/853,870, dated March 31, 2003							
,	E	Office Action in related application 09/853,870, dated January 2, 2004							
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EXAMINER DAT			TE CONSIDERED						
* <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									